



09/280796

PATENT

Cofe

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

APPLICANT(S) Lewis et al.  
PATENT No.: 6,718,445 B1  
ISSUE DATE: April 6, 2004  
SERIAL No.: 09/280,796  
FILING DATE: March 26, 1999  
TITLE: SYSTEM AND METHOD FOR PERFORMING  
DYNAMIC BUFFER MANAGEMENT IN A NFS  
(HIERARCHICAL FILE SYSTEM DF/SMS)

Certificate  
NOV 12 2004  
of Correction

ATTY. DKT. No.: SA9-98-116

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: 11/5/04

By: *Brian C. Kunzler*  
BRIAN C. KUNZLER, Reg. No.:38,527

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
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ATTENTION: DECISION AND CERTIFICATE OF CORRECTION  
BRANCH OF THE PATENT ISSUE DIVISION

**REQUEST FOR CERTIFICATE OF CORRECTION**

SIR:

The following errors, as more fully described below, appear in this patent.

☐ The Applicant submits that no fee is due for correction of the errors made by the Patent and Trademark Office; OR,

☒ The errors occurred in good faith. Correction thereof does not involve such changes in the patent as would constitute new matter or would require re-examination. A

11/10/2004 MAHME1 00000041 090466 6718445

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24 NOV 2004

Certificate of Correction is requested. Enclosed herewith is payment in the amount of \$100.00 to cover the fee for this Certificate of Correction.

Attached hereto are duplicate Forms PTO-1050, with at least one copy that is suitable for printing.

Applicant kindly requests the following changes:

Column 2

Line 60, "a buffer. pool which" should read - - a buffer pool which - -

Column 3

Line 41, "invention. If is" should read - - invention. It is - -

Column 4

Line 7, "services requests" should read - - service requests - -

Column 7

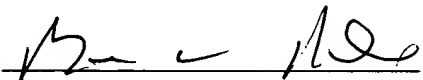
Line 29 "relative the" should read - - relative to the - -

Please send the Certificate to:

BRIAN C. KUNZLER  
8 EAST BROADWAY, SUITE 600  
SALT LAKE CITY, UTAH 84111

Respectfully submitted,  
Brian C. Kunzler

Dated: 11/5/04

By: 

BRIAN C. KUNZLER, Reg. No.:38,527  
8 East Broadway, Suite 600  
Salt Lake City, Utah 84111  
Telephone: (801) 994-4646

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. :6,718,445 B1

DATED :April 6, 2004

INVENTOR(S) :Cecilia Carranza Lewis et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 2

Line 60, "a buffer. pool which" should read - - a buffer pool which - -

Column 3

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24 NOV 2004

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<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <div style="text-align: left; margin-top: 10px;"> <p>NOV 09 2004</p> <p><small>Note: Effective October 1, 2004. Patent fees are subject to annual revision.</small></p> </div>		<b>Complete If Known</b>		
		Application Number	09/280,796	
		Filing Date	March 26, 1999	
		First Named Inventor	Lewis et al.	
		Group Art Unit	2188	
		Examiner Name	Pierre M. Vital	
TOTAL AMOUNT OF PAYMENT		\$ 100	Attorney Docket Number	SJO919980116US1

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																		
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: <u>09-0466</u></p> <p>Deposit Account Name: <u>IBM CORPORATION</u></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17      <input type="checkbox"/> Charge the Issue Fee In 37 CFR at the Mailing of the Notice of Allowance</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p style="margin-left: 40px;"><input type="checkbox"/> Check    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p>	<h3 style="margin: 0;">3. ADDITIONAL FEES</h3> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053</td><td>130</td><td>2053</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2520</td><td>1812</td><td>2520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1840*</td><td>1805</td><td>1840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>1252</td><td>430</td><td>2252</td><td>215</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>1253</td><td>980</td><td>2253</td><td>490</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1254</td><td>1530</td><td>2254</td><td>765</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1255</td><td>2080</td><td>2255</td><td>1040</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>1401</td><td>340</td><td>2401</td><td>170</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>340</td><td>2402</td><td>170</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>300</td><td>2403</td><td>150</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1510</td><td>1451</td><td>1510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to revive - intentional</td><td></td></tr> <tr><td>1453</td><td>1330</td><td>2453</td><td>665</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>1501</td><td>1370</td><td>2501</td><td>685</td><td>Utility issue fee</td><td></td></tr> <tr><td>1502</td><td>490</td><td>2502</td><td>245</td><td>Design issue fee</td><td></td></tr> <tr><td>1503</td><td>660</td><td>2503</td><td>330</td><td>Plant issue fee</td><td></td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>1809</td><td>770</td><td>1809</td><td>385</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>1810</td><td>770</td><td>2810</td><td>385</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr> <td colspan="4">Other fee (specify) _____ Certificate of Correction _____</td> <td></td> <td style="text-align: center;">100</td> </tr> <tr> <td colspan="4"><b>SUBTOTAL (1)</b></td> <td></td> <td style="text-align: center;"><b>\$ 0</b></td> </tr> </tbody> </table> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> 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proceeding		1452	110	2452	55	Petition to revive - intentional		1453	1330	2453	665	Petition to revive - unintentional		1501	1370	2501	685	Utility issue fee		1502	490	2502	245	Design issue fee		1503	660	2503	330	Plant issue fee		1460	130	1460	130	Petitions to the Commissioner		1807	50	1807	50	Petitions related to provisional applications		1806	180	1806	180	Submission of Information Disclosure Stmt		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	770	1809	385	Filing a submission after final rejection (37 CFR 1.129(a))		1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify) _____ Certificate of Correction _____					100	<b>SUBTOTAL (1)</b>					<b>\$ 0</b>	Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1001	790	2001	395	Utility filing fee	0	1002	350	2002	175	Design filing fee		1003	550	2003	275	Plant filing fee		1004	790	2004	395	Reissue filing 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1451	1510	1451	1510	Petition to institute a public use proceeding																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																															
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1807	50	1807	50	Petitions related to provisional applications																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																															
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8021	40	8021	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																															
1809	770	1809	385	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																															
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																															
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